

CHECKLIST for **FOREIGN (US DOMICILED)** INSURERS  
CALIFORNIA LASLI FILING REQUIREMENTS

Please make checks payable to the **California Department of Insurance** (CDI). All documents must be submitted with a cover letter to:

**Accounting Services Bureau  
State of California Department of Insurance  
300 Capitol Mall  
Sacramento, CA 95814**

For LASLI applicants, the documents must be filed in duplicate (one original and one photocopy). For current LASLI companies, only one original copy is required. However, for both LASLI applicants and current LASLI companies, Biographical Affidavits must be filed in triplicate (one original and two photocopies).

If a required document is available from the National Association of Insurance Commissioners (NAIC) or other public source, then the document need not be filed with the CDI. However, the insurer will have to submit a verified statement to the CDI identifying the document that is available from the NAIC or other public source along with the appropriate filing fee.

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| <input type="checkbox"/> <b>Filing Fee:</b><br>_____ LASLI Application : \$6,113<br>_____ Annual Renewal : \$3,057<br>_____ Updated Financial Document : \$341<br>_____ Updated Non-Financial or Supplemental Document : \$50 | <input type="checkbox"/> <b>Verified Regulatory Disclosure Statement</b>   |
| <input type="checkbox"/> <b>Certified Annual Statement</b> (including all supplementary reports, exhibits, and schedules required by the NAIC)  | <input type="checkbox"/> <b>Proposed Business Plan/Plan of Operation in California</b>   |
| <input type="checkbox"/> <b>Audited Financial Report</b><br>_____ Certified or _____ Verified   | <input type="checkbox"/> <b>Biographical Affidavit on the Officers and Directors</b>   |
| <input type="checkbox"/> <b>Certified Current License or Certificate of Authority</b>   | <input type="checkbox"/> <b>Certified Report of Examination</b>  |
| <input type="checkbox"/> <b>Certified of Good Standing or Certificate of Compliance.</b><br>If the domiciliary jurisdiction does not issue such a certificate, see <a href="#">Exhibit D</a> .                                | <input type="checkbox"/> <b>List of Surplus Lines Brokers Authorized to Issue Policies</b>   |
| <input type="checkbox"/> <b>Agent for Service of Process</b>  | <input type="checkbox"/> <b>March 31<sup>st</sup> Quarterly Statement &amp; Supplements</b><br>_____ Certified or _____ Verified     |
| <input type="checkbox"/> <b>Principal Place of Business</b>   | <input type="checkbox"/> <b>June 30<sup>th</sup> Quarterly Statement &amp; Supplements</b><br>_____ Certified or _____ Verified      |
| <input type="checkbox"/> <b>Market Conduct Report/Information</b><br>_____ Certified or _____ Verified  | <input type="checkbox"/> <b>September 30<sup>th</sup> Quarterly Statement &amp; Supplements</b><br>_____ Certified or _____ Verified |