

CHECKLIST for **ALIEN (NON-US DOMICILED) INSURERS**  
CALIFORNIA LASLI FILING REQUIREMENTS

Please make checks payable to the **California Department of Insurance (CDI)**. All documents must be submitted with a cover letter to:

**Accounting Services Bureau  
State of California Department of Insurance  
300 Capitol Mall  
Sacramento, CA 95814**

For LASLI applicants, the documents must be filed in duplicate (one original and one photocopy). For current LASLI companies, only one original copy is required. However, for both LASLI applicants and current LASLI companies, Biographical Affidavits must be filed in triplicate (one original and two photocopies).

If a required document is available from the National Association of Insurance Commissioners (NAIC) or other public source, then the document need not be filed with the CDI. However, the insurer will have to submit a verified statement to the CDI identifying the document that is available from the NAIC or other public source along with the appropriate filing fee.

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| <p><input type="checkbox"/> <b>Filing Fee</b><br/>____ LASLI Application : \$6,113<br/>____ Annual Renewal : \$3,057<br/>____ Updated Financial Document : \$341<br/>____ Updated Non-Financial or Supplemental Document : \$50</p> <p><input type="checkbox"/> <b>Audited Financial Report (including financial statements converted into US dollars)</b><br/>____ Certified or ____ Verified</p> <p><input type="checkbox"/> <b>Certified Financial Statement and/or Standard IID (International Insurers Department) Financial Reporting Format</b></p> <p><input type="checkbox"/> <b>Certified Trust Agreement (as Amended January 1, 2007)</b></p> <p><input type="checkbox"/> <b>Verified List of Trust Assets as of March 31<sup>st</sup></b></p> <p><input type="checkbox"/> <b>Verified List of Trust Assets as of June 30<sup>th</sup></b></p> <p><input type="checkbox"/> <b>Verified List of Trust Assets as of September 30<sup>th</sup></b></p> <p><input type="checkbox"/> <b>Verified List of Trust Assets as of December 31<sup>st</sup></b></p> <p><input type="checkbox"/> <b>Certified Current License or Certificate of Authority</b></p> <p><input type="checkbox"/> <b>Certificate of Good Standing or Certificate of Compliance</b><br/>If the domiciliary jurisdiction does not issue such a certificate, see <a href="#">Exhibit D</a>.</p> | <p><input type="checkbox"/> <b>Agent for Service of Process</b></p> <p><input type="checkbox"/> <b>Principal Place of Business</b></p> <p><input type="checkbox"/> <b>Market Conduct Report/Information</b><br/>____ Certified or ____ Verified</p> <p><input type="checkbox"/> <b>Verified Regulatory Disclosure Statement</b></p> <p><input type="checkbox"/> <b>Premiums Written in California by Lines of Business</b></p> <p><input type="checkbox"/> <b>Proposed Business Plan/Plan of Operation in California</b></p> <p><input type="checkbox"/> <b>Biographical Affidavit on the Officers and Directors</b></p> <p><input type="checkbox"/> <b>Certified Report of Examination</b></p> <p><input type="checkbox"/> <b>List of Surplus Lines Brokers Authorized to Issue Policies</b></p> <p><input type="checkbox"/> <b>Quarterly or Semi-Annual Results</b><br/>as of _____<br/>____ Certified or ____ Verified</p> |
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